





# UNITED STATES DEPARTMENT OF COMMER

12.36

Patent and Trademark Office

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FIRST NAMED APPLICANT

ATTORNEY DOCKET NO./TITLE

9732:0401

CHARLE & OURSER F C BOX 4433 60 mg 5 Tim; TV 17 ) 131- 1.1 2 2

DATE MAILED:

## NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indigated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of 🗆 \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

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if ali . □ sm	required items on this form are filed wit all entity (statement filed) $\square$ non-small	hin the period set above, the total amount owed by applicant as a entity is \$
	The statutory basic filing fee is:  missing. insufficient.	
	Applicant must submit \$	to complete the basic filing fee and/or file a small entity statement claiming
<b>□</b> 2.	such status (37 CFR 1.27).  Additional claim fees of \$	, including any multiple dependent claim fees, are required.
	\$for	_ independent claims over 3.
	\$for	_ dependent claims over 20.
ممر	\$for multiple dependent claim surcharge.  Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.	
₫ 3.		hich it applies.  foreign country of applicant's residence.  for CFR 1. 63, including residence information and identifying the application by
<ul> <li>The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.</li> <li>In properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.</li> </ul>		
☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:		
		<u></u>
	An oath or declaration in compliance with inventor(s) identifying this application by	h 37 CFR 1.63 listing the names of all inventors and signed by the amilted the above Application Number and Filing Date, is required.
□ 7. □ 8.	A.\$50.00 processing fee is required since	your check was returned without payment (37 CFR 1.21(m) នៃ និង្គីដី sause your check was returned without payment. Sequence Rules.
	t the reply and any questions about this no	atice to "Attention: Boy Missing Parts"
שומו		Altertion. Box Wissing Furts.
A copy of this notice <u>MUST</u> be returned with the reply.		

**Customer Service Center** Initial Patent Examination Division (703) 308-1202





#### CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231, on the date below:

June 3, 1998

Date

Cavid W. Bleben David W. Hibler

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hong-Ji Xu, Shi-Xue Hu, William F.

Benedict and Yunli Zhou

Serial No.: 09/026,459

Filed: February 19, 1998

For: MODIFIED RETINOBLASTOMA TUMOR SUPPRESSOR PROTEINS

Group Art Unit: 1635

Examiner: Unknown

Atty. Dkt. No.: UTXC:506/HIB

## RESPONSE TO 37 C.F.R. § 1.53(d) NOTICE

### **BOX MISSING PART**

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application Under 37 C.F.R. § 1.53(d), dated April 7, 1998, there are enclosed herewith:

- (a) Declaration executed on behalf of Hong-Ji Xu, Shi-Xue Hu, William F. Benedict and Yunli Zhou;
- (b) A Power of Attorney on behalf of Board of Regents, The University of Texas System;

- (c) A Declaration Claiming Small Entity Status executed on behalf of Board of Regents, The University of Texas System;
- (d) A Request for Complete Filing Receipt;
- (e) A Statement as Required Under 37 C.F.R. §§ 1.825 (f) and (g): paper copy of sequence and diskette;
- (f) An Information Disclosure Statement, PTO Form 1449 and copy of references;
- (g) Our check in the amount of \$836.00 to cover the basic filing fee (\$395 utility small entity); surcharge for late filing (\$65.00); and additional claims fee (\$376.00); and
- (h) A copy of Notice to File Missing Parts of Application-Filing Date Granted.

Assignments to Board of Regents, The University of Texas System and a check for \$40.00 are being filed under separate cover.

If the check is inadvertently omitted, or should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, or should an overpayment be included herein, the Assistant Commissioner is authorized to deduct or credit said fees from or to Arnold, White & Durkee Deposit Account No. 01-2508/UTXC:506/HIB.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,

Cavid W. Willer

David W. Hibler Reg. No. 41,071 Agent for Applicants

Date:

June 3, 1998